

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Felt et al.

Group Art Unit: 3761

Serial No. 10/681,035

**Examiner: Not Assigned** 

Filed: October 8, 2003

Confirmation No.: 2719

For:

**EXTRACORPOREAL BLOOD** 

PROCESSING METHODS AND APPARATUS

#### CERTIFICATE OF MAILING

II hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to: MAIL STOP MISSING PARTS, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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March 18, 2004

Date

## INFORMATION DISCLOSURE STATEMENT

Mail Stop Missing Parts Commissioner of Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

The Examiner is respectfully requested to consider the following references which may qualify as prior art. For the Examiner's convenience, the references are listed on the attached Patent and Trademark Office form PTO-1449. As this application was filed after June 30, 2003, Applicants only include copies of foreign patent documents and non-patent references. See, <u>Disclosure Statements Filed After June 30, 2003</u>, Off. Gaz. Pat. Off., 8/5/2003.

The references and information provided herewith are cited in a spirit of forthrightness and cooperation to enable the applicants to obtain that measure of protection for the invention to which there is entitlement. However, no representation is made that the listed art actually qualifies as prior art under the patent statute and the mere

use of PTO-1449 is not an admission that all listed references are prior art. No

representation is made that applicants know of the best art.

References listed in PTO Form 1449 submitted herewith which do not specify the

month of publication have a year of publication sufficiently earlier than the effective U.S.

filing date with any foreign priority date so that the particular month of publication is not in

issue.

The Examiner is also requested to consider commonly owned U.S. Patent

Application No. 10/680,950, which may be considered related to this case. A copy of this

pending application is provided herewith. Applicants submit these materials in a spirit of

forthrightness and cooperation to enable the applicants to obtain that measure of

protection for the invention to which there is entitlement. No representation is made that

this reference actually qualifies as prior art under the patent statute.

It is believed that no fee is required for this submission. If this is incorrect, however,

please deduct the appropriate fee for this submission and any extension of time required

from Deposit Account No. 07-1969.

Respectfully submitted,

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nk: March 18, 2004

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ATTY DOCKET NO. 109-03	SERIAL NO. 10/681,035	FILING DATE Oct. 08, 2003
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#### **U.S. PATENT DOCUMENTS**

	· · · · · · · · · · · · · · · · · · ·	7	TOTAL BOSOME	, , <u>, , , , , , , , , , , , , , , , , </u>	·	
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	4,798,090 4,828,543 4,850,998 4,883,462 4,911,703 4,923,439 5,069,792 5,120,303 5,171,212 5,174,894 5,178,603 5,186,431 5,200,090 5,370,123 5,372,709 5,431,811 5,437,624 5,487,827 5,499,648 5,501,665 5,536,237 5,555,910 5,618,441 5,647,984 5,653,887 5,674,173 5,690,831	4,798,0901/17/894,828,5435/09/894,850,9987/25/894,883,46211/28/894,911,7033/27/904,923,4395/08/905,069,79212/03/915,120,3036/09/925,171,21212/15/925,174,89412/29/925,178,6031/12/935,186,4312/16/935,200,0904/06/935,370,12312/06/945,372,70912/13/945,431,8117/11/955,437,6248/01/955,487,8271/30/965,501,6653/26/965,536,2377/16/965,555,9109/17/965,618,4414/08/975,653,8878/05/975,653,8878/05/975,690,83111/25/97	4,798,090       1/17/89       Heath et al.         4,828,543       5/09/89       Weiss et al.         4,850,998       7/25/89       Schoendorfer         4,883,462       11/28/89       Williamson et al.         4,911,703       3/27/90       Lysaght et al.         4,923,439       5/08/90       Seidel et al.         5,069,792       12/03/91       Prince et al.         5,120,303       6/09/92       Hombrouckx         5,171,212       12/15/92       Buck et al.         5,174,894       12/29/92       Ohsawa et al.         5,178,603       1/12/93       Prince         5,186,431       2/16/93       Tamari         5,200,090       4/06/93       Ford et al.         5,370,123       12/06/94       Shinzato         5,372,709       12/13/94       Hood         5,437,624       8/01/95       Langley         5,487,827       1/30/96       Peterson et al.         5,501,665       3/26/96       Jhuboo et al.         5,555,910       9/17/96       Prince et al.         5,618,441       4/08/97       Rosa et al.         5,653,887       8/05/97       Wahl et al.         5,690,831       11/25/97<	4,798,090       1/17/89       Heath et al.         4,828,543       5/09/89       Weiss et al.         4,850,998       7/25/89       Schoendorfer         4,883,462       11/28/89       Williamson et al.         4,911,703       3/27/90       Lysaght et al.         4,923,439       5/08/90       Seidel et al.         5,069,792       12/03/91       Prince et al.         5,120,303       6/09/92       Hombrouckx         5,171,212       12/15/92       Buck et al.         5,174,894       12/29/92       Ohsawa et al.         5,178,603       1/12/93       Prince         5,186,431       2/16/93       Tamari         5,200,090       4/06/93       Ford et al.         5,372,709       12/13/94       Hood         5,437,624       8/01/95       Langley         5,487,827       1/30/96       Peterson et al.         5,501,665       3/26/96       Jhuboo et al.         5,555,910       9/17/96       Powell et al.         5,618,441       4/08/97       Rosa et al.         5,647,984       7/15/97       Hovland et al.         5,674,173       10/07/97       Hlavinka et al.         5,690,831 <td< td=""><td>4,798,090       1/17/89       Heath et al.         4,828,543       5/09/89       Weiss et al.         4,850,998       7/25/89       Schoendorfer         4,883,462       11/28/89       Williamson et al.         4,911,703       3/27/90       Lysaght et al.         4,923,439       5/08/90       Seidel et al.         5,069,792       12/03/91       Prince et al.         5,120,303       6/09/92       Hombrouckx         5,171,212       12/15/92       Buck et al.         5,174,894       12/29/92       Ohsawa et al.         5,178,603       1/12/93       Prince         5,186,431       2/16/93       Tamari         5,200,090       4/06/93       Ford et al.         5,372,709       12/13/94       Hood         5,431,811       7/11/95       Tusini et al.         5,487,827       1/30/96       Peterson et al.         5,591,665       3/26/96       Jhuboo et al.         5,555,910       9/17/96       Powell et al.         5,647,984       7/15/97       Hovland et al.         5,653,887       8/05/97       Wahl et al.         5,690,831       11/25/97       Kenley et al.</td></td<>	4,798,090       1/17/89       Heath et al.         4,828,543       5/09/89       Weiss et al.         4,850,998       7/25/89       Schoendorfer         4,883,462       11/28/89       Williamson et al.         4,911,703       3/27/90       Lysaght et al.         4,923,439       5/08/90       Seidel et al.         5,069,792       12/03/91       Prince et al.         5,120,303       6/09/92       Hombrouckx         5,171,212       12/15/92       Buck et al.         5,174,894       12/29/92       Ohsawa et al.         5,178,603       1/12/93       Prince         5,186,431       2/16/93       Tamari         5,200,090       4/06/93       Ford et al.         5,372,709       12/13/94       Hood         5,431,811       7/11/95       Tusini et al.         5,487,827       1/30/96       Peterson et al.         5,591,665       3/26/96       Jhuboo et al.         5,555,910       9/17/96       Powell et al.         5,647,984       7/15/97       Hovland et al.         5,653,887       8/05/97       Wahl et al.         5,690,831       11/25/97       Kenley et al.

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# **FOREIGN PATENT DOCUMENTS**

Document Number	Date	Country	Class	Subclass	Translation Yes/No
EP 0834329	4/08/98	EP	A61M		YES
WO 00/12991	3/09/00	PCT			
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OTHER PRIOR ART (including Author, Title, Date, Pertinent Pages, etc.)

	KAKT (including Author, Title, Date, Fertinent Fages, etc.)
	Baxter Healthcare Corporation, CS-3000 Plus Parameter Changes, Operator's Manual, Chapter 1, "Description" Chapter 4, "Warnings"; Chapter 5, Precautions"; Chapter 8, "Information for Use"; Chapter 9, "Run Procedures"; Chapter 12, "Troubleshooting", pages 12-12 through 12 -36 (undated, in use 1 year prior to filing).
	COBE BCT, Inc. COBE Spectra Apheresis System, Operator's Manual, "Table of Contents"; Section 1 "Introduction"; Section 4A, "Platelet Dual-Needle Operation"; Section 9, "Diagnostics" (1991)
	European Search Report for corresponding foreign application No.: 00311669.6 dated July 21, 2003.
	Fresenius Operation Manual, <i>Fresenius MT AS 104 Blood Cell Separator</i> , Section 3 "Safety and Alarm Circuits: Section 4, "Remedies in Case of Alarm or Error Conditions; Section 6, "Definitions and Terms"; Section 7, "Tablesand Figures" (1990).
	Schoendorfer, D.W.; "Automation in Apheresis" pp. 129-146 (undated, in use 1 year prior to filing).

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